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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/941,411 Confirmation No.: 6137
First Named Inventor: David Polinsky et al. Filing Date: August 28, 2001
Group Art Unit: 2872 Examiner: Unknown
Atty. Docket No.: M-11636 US
Title: HYBRID OPTO-MECHANICAL COMPONENT
Assignee: DICON FIBEROPTICS, INC.

San Francisco, California
January 23, 2003

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- ☒ Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.
- ☐ Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application(s) No. _____, from which this Application claims an earlier effective filing date.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

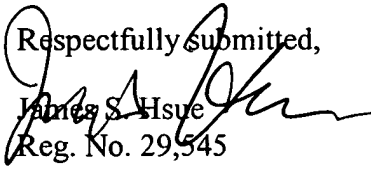
This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge

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Respectfully submitted,


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